

# Special Circumstances Appeal Process

Special Circumstances Appeals are done on a case by case basis and require sufficient documentation to explain the circumstance and identify the changes that need to be made to the Financial Aid application. The procedure outlined below is designed to help make this process as easy and streamlined as possible. Following the procedure will help insure that the process moves forward effectively and will help in getting the documentation we need to make an informed decision.

## **STEP ONE - MEET WITH A FINANCIAL AID ADVISOR**

Meeting with a Financial Aid Advisor initially will accomplish the following three things.

1. Help determine if your circumstance is covered by the Special Circumstances Appeal Guidelines.
2. If the changes that would possibly be made will actually help the Financial Aid eligibility of the student.
3. Determine the documentation that is needed to get the Special Circumstances Appeal approved.

## **STEP TWO – COLLECT THE DOCUMENTATION REQUESTED**

In order for the Special Circumstances Appeal to be approved we must have the needed documentation to show why and what we are approving. The requested documentation is the minimum amount needed to approve the Special Circumstances Appeal. All documentation must be signed by the individual supplying it. We will not accept any documentation for your Special Circumstances Appeal until you have all requested documentation ready to submit. If you have questions or are having difficulty getting specific pieces of documentation please let the Financial Aid Advisor know and they may be able to offer alternative options on how to get the needed information.

## **STEP THREE – MEET AGAIN WITH THE FINANCIAL AID ADVISOR**

This meeting should be made with the same Financial Aid Advisor as you met with during the initial appointment. During this meeting a review of all the needed documentation will take place and another review of the data will be done which will update the possible results from the Special Circumstances Appeal. Depending on the documentation received the Financial Aid Advisor may need to request additional documents to complete the Special Circumstances Appeal. If this is needed another appointment will be made and you will need to return with all documentation. If the Financial Aid Advisor determines that all the documentation needed has been received they will submit the documentation for approval.

## **STEP FOUR – FINANCIAL AID DIRECTOR REVIEW**

The completed documentation will be submitted to the Financial Aid Director for review and final approval. This process can take up to 7 days, and once complete can have one of the three listed results.

1. Approved, once the Special Circumstances Appeal is approved the Financial Aid Staff will make the needed changes to your Application and create an updated Award Letter, this process can take up to 2 weeks to accomplish.
2. Denied, the Financial Aid Director may deny the Special Circumstances Appeal, if he determines the Special Circumstances Appeal does not meet the guidelines set by the Federal Department of Education.
3. Additional information needed. If the Financial Aid Director feels more information is needed, the student will be asked to resubmit additional information. This process will be done by working with the Financial Aid Advisor.

The process can be long and involved but the Financial Aid staff will help you through it. The Financial Aid staff will do their best to advise whether or not your claim will be approved or if it will have any effect on your Financial Aid awards.

Financial Aid Office  
13100 College View Drive  
Eden Prairie, MN 55347

Phone: 952-995-1300  
Fax: 952-995-1389  
Email: fa@hennepintech.edu

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**Last Name****First Name****Student ID or StarID**

**If you are interested in pursuing a Special Circumstances Appeal it is strongly suggested that you meet with a Financial Aid Advisor first to ensure you are collecting the correct documentation. Please call 952-995-1300 to schedule an appointment. This form needs to be submitted in person to an FA Advisor.**

You may complete the Special Circumstances Appeal Form if you are an independent student whose current financial situation is not accurately reflected by 2020 tax information. Your family's 2021 income is used to assess your financial need for the 2022-2023 school year, in accordance with federal laws and regulations. If your family's income is lower due to special circumstances, a financial aid administrator may be able to use your current estimated income to calculate financial need. This financial situation may be due to loss of a job, separation or divorce, death, disability unusual medical expenses, or other circumstances. If you have not already done so, you must first apply for federal financial aid by completing the Free Application for Federal Student Aid (FAFSA) with 2020 tax information. After submitting the FAFSA, please provide information regarding your reduction in income by completing this form if you (and your spouse) want a review of your special circumstances. Your appeal is complete only when you attach the documentation that validates your special circumstances. No action will be taken until all of the documentation appropriate to your circumstance is submitted to the Financial Aid Office. If any document is missing, your appeal will be returned to you and will be considered only when you have resubmitted it with complete documentation.

**Documentation is an essential part of your appeal.**

Your appeal will be considered only when you have submitted all of the supporting documentation with your completed appeal form. Please read carefully to determine which documents you are required to provide to validate your appeal information. All applicants must complete Sections 1, 2, and 3 of this form and provide the following:

- Your (and your spouse's) 2020 and 2021 signed federal tax return form 1040 including all pages, schedules, and W2s.
- A signed personal statement that explains your situation.

In addition, select the category from the following listing that most closely describes your special circumstance. Read the description carefully and attach all of the documentation requested under that category.

**Loss or reduction of employment**

You and/or your spouse earned money in 2020 and have had a reduction in hours, or have lost employment for at least 10 weeks in 2021 or 2022 that has resulted in a reduction of wages. Ten (10) weeks must have passed prior to submission of this appeal for either circumstance. Appeals submitted before 10 weeks will be returned. Documentation Requested:

- written verification from a former employer(s) that indicates start and end dates of employment or reduction of hours and the amount of your (and your spouse's) year-to-date gross earnings
- documents, including letters, from all former employers that show dates and amounts received for earnings, severance pay, vacation, or retirement pay out
- a written statement from your (or your spouse's) current or future employer(s) that indicates your expected gross earnings for the calendar year 2022. Your 2022 earnings must be documented with a letter from your employer projecting earnings or copies of your two most recent pay stubs
- eligibility forms that indicate dates and amount of unemployment benefits

### **\_\_\_ Separation, divorce, or death**

You have already filed your annual Free Application for Federal Student Aid (FAFSA) and since that time, you and your spouse have become separated or divorced, or your spouse has died.

Documentation Requested:

- legal separation papers or divorce decree
- death certificate

### **\_\_\_ Loss of taxed/untaxed income or benefit**

You and/or your spouse received unemployment compensation, or another taxed or untaxed income or benefit in 2020, and have completely lost that income or benefit for at least 10 weeks in calendar year 2021 or 2022. Ten (10) weeks without compensation must have passed prior to your submission of this appeal. Appeals submitted before 10 weeks will be returned. The untaxed income or benefit must be from a public or private agency, a company, or from a person due to court order. (Do not include loss of veterans benefits.) Income and benefits include: Social Security benefits, Supplemental Security Income (SSI), child support, untaxed retirement or disability benefits, welfare benefits, and Minnesota Family Investment Plan (MFIP). Documentation Requested:

- contracts, agency notices, or legal papers that indicate the date your (or your spouse's) taxed/untaxed income or benefit was terminated, what amount of income came from that source, and how that income was used

### **\_\_\_ Loss of one-time income**

You and/or your spouse received one-time income in 2020 that will not occur in 2021 or 2022 (e.g., rollover into a Roth IRA, moving expenses allowance, back-year Social Security payments, or a divorce settlement). Special circumstance consideration will not be given if this one-time income is a result of an inheritance, job bonus, or overtime compensation, gambling winnings, pension, capital gain, insurance settlements, or early distributions of retirement accounts.

Documentation Requested:

- contracts, agency notices, or legal papers that indicate the date your (or your spouse's) one-time income was terminated, what amount of income came from that source, and how that income was used

Read the Special Circumstances Appeal Instructions carefully before completing this form. You must complete Sections 1, 2, and 3. Please print clearly in blue or black ink.

## SECTION 1: Background

Student name (First, Middle Initial, Last)	Student ID number	Social Security number	Date
Address (street or P.O. Box, Apartment number, City, State, Zip Code)			Telephone with area code (      )

**List all family members included on your 2022-2023 Free Application for Federal Student Aid (FAFSA).**

Give the name of the post secondary institution for any family member attending at least one term of post secondary education at least half time. If you need more space, you may add more family members in your personal statement.

Family members in household	Age	Relationship	Name of post secondary institution

## SECTION 2: Certification

To the best of my knowledge, the information in this appeal is true. I understand that misrepresentation of facts in connection with this appeal, whenever discovered, may be sufficient cause, in and of itself, for cancellation and repayment of financial aid. I understand that my federal tax return will be used to verify the current financial aid application information and that I will be selected for institutional verification in the next aid year. **WARNING: If you use this form to establish eligibility for federal student financial aid and purposely give false or misleading information, you may be fined, sent to prison, or both.**

Student Signature:	Date:
Spouse Signature:	Date:

## SECTION 3: Income Source Table

	Actual	Estimated	Total						
January 1 through December 31, 2022	01/01/2022 – today	Today – 12/31/2022	Actual plus estimated						
1. Income earned from work by student (wages, salary, and tips, for example)									
2. Income earned from work by spouse (wages, salary, and tips, for example)									
3. Business, farm, or rental income									
4. Interest/dividend income: Specify source and value.									
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:25%;">Source</td> <td style="width:10%;">\$</td> <td style="width:25%;">Value</td> <td style="width:25%;">Source</td> <td style="width:10%;">\$</td> <td style="width:15%;">Value</td> </tr> </table>	Source	\$	Value	Source	\$	Value			
Source	\$	Value	Source	\$	Value				
5. Unemployment compensation									
6. Capital gains									
7. Spousal maintenance									
8. Child support									
9. Welfare benefits (such as AFDC or TANF or MFIP)									
10. Veterans benefits									
11. Social Security benefits (including SSI)									
12. Workers' compensation									
13. Short-term or long-term disability benefits									
14. Severance pay									
15. Withdrawal from retirement account									
16. Other income (such as pension, annuity, rental income, housing allowance, bonuses)									